

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 28 September 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	3,695,918,048	33.83%	4,585,193,693	41.98%	-889,275,646	-8.14%
	HNWIs	3,341,839,694	30.59%	3,065,455,040	28.06%	276,384,653	2.53%
	IPIs	328,920,031	3.01%	415,898,749	3.81%	-86,978,718	-0.80%
	Individual DPMs	71,945,704	0.66%	78,927,089	0.72%	-6,981,385	-0.06%
	Sub Total (Individuals)	7,438,623,477	68.10%	8,145,474,572	74.57%	-706,851,095	-6.47%
	Institutions:						
	Corporates	1,772,687,233	16.23%	917,410,355	8.40%	855,276,878	7.83%
	Mutual Funds	518,968,041	4.75%	421,119,649	3.86%	97,848,392	0.90%
	GRE's	6,591,857	0.06%	6,320,768	0.06%	271,089	0.00%
	Institution DPMs	229,762,351	2.10%	257,471,170	2.36%	-27,708,819	-0.25%
	Sub Total (Institutions)	2,528,009,483	23.14%	1,602,321,943	14.67%	925,687,540	8.47%
Total Saudi Investors	9,966,632,959	91.24%	9,747,796,515	89.24%	218,836,444	2.00%	
GCC	Individuals	22,277,847	0.20%	38,690,193	0.35%	-16,412,346	-0.15%
	Institutions	214,896,242	1.97%	405,072,708	3.71%	-190,176,465	-1.74%
	GCC DPMs	490,774	0.00%	44,288	0.00%	446,486	0.00%
	Total GCC Investors	237,664,864	2.18%	443,807,189	4.06%	-206,142,325	-1.89%
Foreign	SWAP Holders	368,698,212	3.38%	278,924,415	2.55%	89,773,797	0.82%
	Foreign Residents	130,652,333	1.20%	149,876,542	1.37%	-19,224,209	-0.18%
	QFIs	173,925,098	1.59%	285,450,488	2.61%	-111,525,390	-1.02%
	Foreign DPMs	46,014,813	0.42%	13,630,309	0.12%	32,384,504	0.30%
	Strategic Partners	0	0.00%	4,102,821	0.04%	-4,102,821	-0.04%
	Total Foreign Investors	719,290,456	6.58%	731,984,576	6.70%	-12,694,120	-0.12%
Grand Total		10,923,588,279	100.00%	10,923,588,279	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,732,900,357	34.17%	3,084,372,810	28.24%	648,527,547	5.94%
Non-Institutional	7,190,687,922	65.83%	7,839,215,469	71.76%	-648,527,547	-5.94%
Grand Total	10,923,588,279	100.00%	10,923,588,279	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 21 September 2017		As of 28 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	73,652,250,058	4.27%	72,206,895,046	4.20%	-1,445,355,012	-0.07%
	HNWIs	134,671,407,844	7.80%	135,637,147,649	7.88%	965,739,804	0.08%
	IPIs	259,277,650,946	15.02%	257,714,786,619	14.97%	-1,562,864,327	-0.05%
	Individual DPMs	4,985,651,437	0.29%	4,930,229,716	0.29%	-55,421,721	0.00%
	Sub Total (Individuals)	472,586,960,285	27.38%	470,489,059,029	27.33%	-2,097,901,256	-0.05%
	Institutions:						
	Corporates	281,527,525,962	16.31%	285,201,603,671	16.57%	3,674,077,708	0.26%
	Mutual Funds	177,818,633,799	10.30%	177,710,087,417	10.32%	-108,546,382	0.02%
	GRE's	625,100,397,890	36.22%	626,978,863,396	36.43%	1,878,465,506	0.21%
	Institution DPMs	50,643,074,887	2.93%	50,516,794,178	2.93%	-126,280,709	0.00%
	Sub Total (Institutions)	1,135,089,632,538	65.77%	1,140,407,348,662	66.26%	5,317,716,123	0.49%
Total Saudi Investors	1,607,676,592,823	93.15%	1,610,896,407,691	93.59%	3,219,814,868	0.44%	
GCC	Individuals	3,075,898,366	0.18%	3,045,712,157	0.18%	-30,186,209	0.00%
	Institutions	33,601,430,384	1.95%	33,192,168,953	1.93%	-409,261,432	-0.02%
	GCC DPMs	710,357,569	0.04%	708,012,395	0.04%	-2,345,174	0.00%
	Total GCC Investors	37,387,686,320	2.17%	36,945,893,505	2.15%	-441,792,815	-0.02%
Foreign	SWAP Holders	11,061,504,046	0.64%	10,892,161,537	0.63%	-169,342,509	-0.01%
	Foreign Residents	4,360,002,408	0.25%	4,326,133,737	0.25%	-33,868,671	0.00%
	QFIs	7,423,466,408	0.43%	7,232,854,883	0.42%	-190,611,525	-0.01%
	Foreign DPMs	2,568,608,050	0.15%	2,558,856,118	0.15%	-9,751,932	0.00%
	Strategic Partners	55,492,834,748	3.22%	48,357,992,480	2.81%	-7,134,842,269	-0.41%
	Total Foreign Investors	80,906,415,660	4.69%	73,367,998,754	4.26%	-7,538,416,906	-0.43%
Grand Total		1,725,970,694,803	100.00%	1,721,210,299,950	100.00%	-4,760,394,853	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 21 September 2017		As of 28 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,510,211,136,128	87.50%	1,505,994,411,362	87.50%	-4,216,724,765	0.00%
Non-Institutional	215,759,558,675	12.50%	215,215,888,588	12.50%	-543,670,088	0.00%
Grand Total	1,725,970,694,803	100.00%	1,721,210,299,950	100.00%	-4,760,394,853	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	15,561,173	99.37%	2,424,405	15.48%	13,136,768	83.89%
	Corporates	0	0.00%	11,435,387	73.02%	-11,435,387	-73.02%
	Institution	8,937	0.06%	1,727,083	11.03%	-1,718,146	-10.97%
	Total Saudi Investors	15,570,110	99.43%	15,586,874	99.53%	-16,765	-0.11%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	89,730	0.57%	72,965	0.47%	16,765	0.11%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	89,730	0.57%	72,965	0.47%	16,765	0.11%
Grand Total		15,659,840	100.00%	15,659,840	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	8,937	0.06%	13,162,470	84.05%	-13,153,533	-84.00%	
Non-Institutional	15,650,903	99.94%	2,497,370	15.95%	13,153,533	84.00%	
Grand Total	15,659,840	100.00%	15,659,840	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 21 September 2017		As of 28 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,142,452,423	88.24%	2,096,996,745	88.74%	-45,455,678	0.50%
	Corporates	139,109,149	5.73%	124,531,888	5.27%	-14,577,262	-0.46%
	Institution	126,514,624	5.21%	121,773,307	5.15%	-4,741,318	-0.06%
	Total Saudi Investors	2,408,076,196	99.18%	2,343,301,939	99.16%	-64,774,258	-0.02%
GCC	Individuals	31,383	0.00%	29,913	0.00%	-1,470	0.00%
	Institutions	13,155,750	0.54%	13,155,750	0.56%	0	0.01%
	Total GCC Investors	13,187,133	0.54%	13,185,663	0.56%	-1,470	0.01%
Foreign	SWAP Holders	209,817	0.01%	207,654	0.01%	-2,163	0.00%
	Foreign Residents	4,521,970	0.19%	4,413,167	0.19%	-108,803	0.00%
	QFIs	2,103,393	0.09%	2,047,042	0.09%	-56,351	0.00%
	Total Foreign Investors	6,835,180	0.28%	6,667,863	0.28%	-167,317	0.00%
Grand Total		2,428,098,510	100.00%	2,363,155,465	100.00%	-64,943,045	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 21 September 2017		As of 28 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	281,092,734	11.58%	261,715,641	11.07%	-19,377,094	-0.50%
Non-Institutional	2,147,005,776	88.42%	2,101,439,824	88.93%	-45,565,951	0.50%
Grand Total	2,428,098,510	100.00%	2,363,155,465	100.00%	-64,943,045	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

