

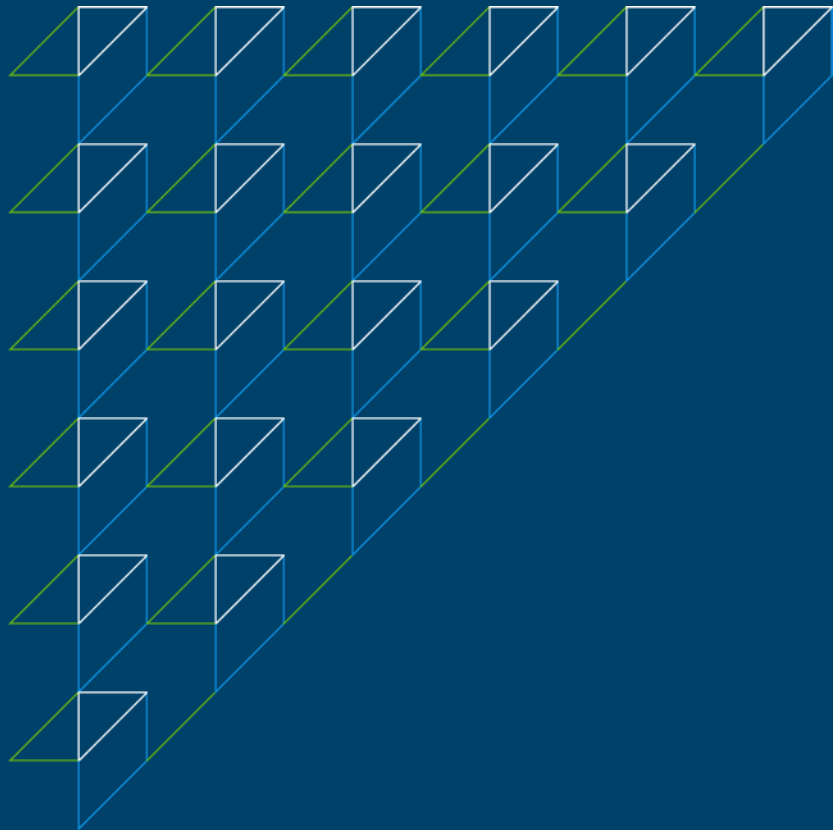
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 11 January 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,195,925,473	40.25%	7,550,147,739	49.05%	-1,354,222,266	-8.80%
	HNWIs	4,858,541,463	31.56%	4,833,902,332	31.40%	24,639,131	0.16%
	IPIs	715,443,662	4.65%	1,132,071,873	7.35%	-416,628,211	-2.71%
	Individual DPMs	117,671,764	0.76%	174,759,871	1.14%	-57,088,108	-0.37%
	Sub Total (Individuals)	11,887,582,362	77.23%	13,690,881,816	88.95%	-1,803,299,454	-11.72%
	Institutions:						
	Corporates	1,555,344,239	10.10%	168,359,230	1.09%	1,386,985,009	9.01%
	Mutual Funds	570,436,519	3.71%	451,319,626	2.93%	119,116,893	0.77%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	237,083,577	1.54%	230,052,790	1.49%	7,030,787	0.05%
	Sub Total (Institutions)	2,362,864,335	15.35%	849,731,646	5.52%	1,513,132,689	9.83%
Total Saudi Investors	14,250,446,697	92.58%	14,540,613,462	94.47%	-290,166,766	-1.89%	
GCC	Individuals	70,868,014	0.46%	56,058,046	0.36%	14,809,968	0.10%
	Institutions	378,546,884	2.46%	296,729,033	1.93%	81,817,851	0.53%
	GCC DPMs	2,333,578	0.02%	2,001,008	0.01%	332,570	0.00%
	Total GCC Investors	451,748,476	2.93%	354,788,087	2.30%	96,960,389	0.63%
Foreign	SWAP Holders	259,444,322	1.69%	70,131,032	0.46%	189,313,290	1.23%
	Foreign Residents	211,594,212	1.37%	227,181,969	1.48%	-15,587,757	-0.10%
	QFIs	219,063,477	1.42%	80,562,035	0.52%	138,501,442	0.90%
	Foreign DPMs	0	0.00%	119,020,598	0.77%	-119,020,598	-0.77%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	690,102,011	4.48%	496,895,635	3.23%	193,206,376	1.26%
Grand Total		15,392,297,184	100.00%	15,392,297,184	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,055,368,021	26.35%	2,725,007,097	17.70%	1,330,360,924	8.64%
Non-Institutional	11,336,929,163	73.65%	12,667,290,087	82.30%	-1,330,360,924	-8.64%
Grand Total	15,392,297,184	100.00%	15,392,297,184	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 4 January 2018		As of 11 January 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	72,166,555,003	4.25%	73,154,284,623	4.27%	987,729,620	0.02%
	HNWIs	137,116,731,733	8.08%	138,454,959,468	8.09%	1,338,227,736	0.01%
	IPIs	248,804,760,773	14.67%	249,835,930,564	14.60%	1,031,169,791	-0.07%
	Individual DPMs	4,365,095,107	0.26%	4,364,177,499	0.26%	-917,608	0.00%
	Sub Total (Individuals)	462,453,142,617	27.26%	465,809,352,155	27.22%	3,356,209,538	-0.04%
	Institutions:						
	Corporates	276,025,918,878	16.27%	280,833,764,443	16.41%	4,807,845,565	0.14%
	Mutual Funds	163,431,995,872	9.63%	163,524,346,369	9.56%	92,350,497	-0.08%
	GRE's	637,780,452,655	37.59%	641,015,069,467	37.46%	3,234,616,811	-0.14%
	Institution DPMs	49,630,232,766	2.93%	50,058,406,658	2.93%	428,173,891	0.00%
	Sub Total (Institutions)	1,126,868,600,172	66.42%	1,135,431,586,937	66.35%	8,562,986,765	-0.07%
Total Saudi Investors	1,589,321,742,789	93.68%	1,601,240,939,091	93.57%	11,919,196,303	-0.11%	
GCC	Individuals	2,828,862,329	0.17%	2,877,207,105	0.17%	48,344,776	0.00%
	Institutions	32,210,892,407	1.90%	32,281,565,961	1.89%	70,673,555	-0.01%
	GCC DPMs	567,656,622	0.03%	571,375,140	0.03%	3,718,517	0.00%
	Total GCC Investors	35,607,411,358	2.10%	35,730,148,206	2.09%	122,736,848	-0.01%
Foreign	SWAP Holders	8,590,283,047	0.51%	8,848,821,697	0.52%	258,538,650	0.01%
	Foreign Residents	4,256,016,439	0.25%	4,298,646,223	0.25%	42,629,784	0.00%
	QFIs	7,060,257,498	0.42%	7,272,928,426	0.43%	212,670,929	0.01%
	Foreign DPMs	3,203,697,655	0.19%	3,152,439,364	0.18%	-51,258,291	0.00%
	Strategic Partners	48,463,326,742	2.86%	50,718,555,222	2.96%	2,255,228,481	0.11%
	Total Foreign Investors	71,573,581,380	4.22%	74,291,390,932	4.34%	2,717,809,552	0.12%
Grand Total		1,696,502,735,527	100.00%	1,711,262,478,229	100.00%	14,759,742,703	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 4 January 2018		As of 11 January 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,480,134,570,023	87.25%	1,492,477,380,811	87.21%	12,342,810,788	-0.03%
Non-Institutional	216,368,165,504	12.75%	218,785,097,419	12.79%	2,416,931,914	0.03%
Grand Total	1,696,502,735,527	100.00%	1,711,262,478,229	100.00%	14,759,742,703	

Definitions

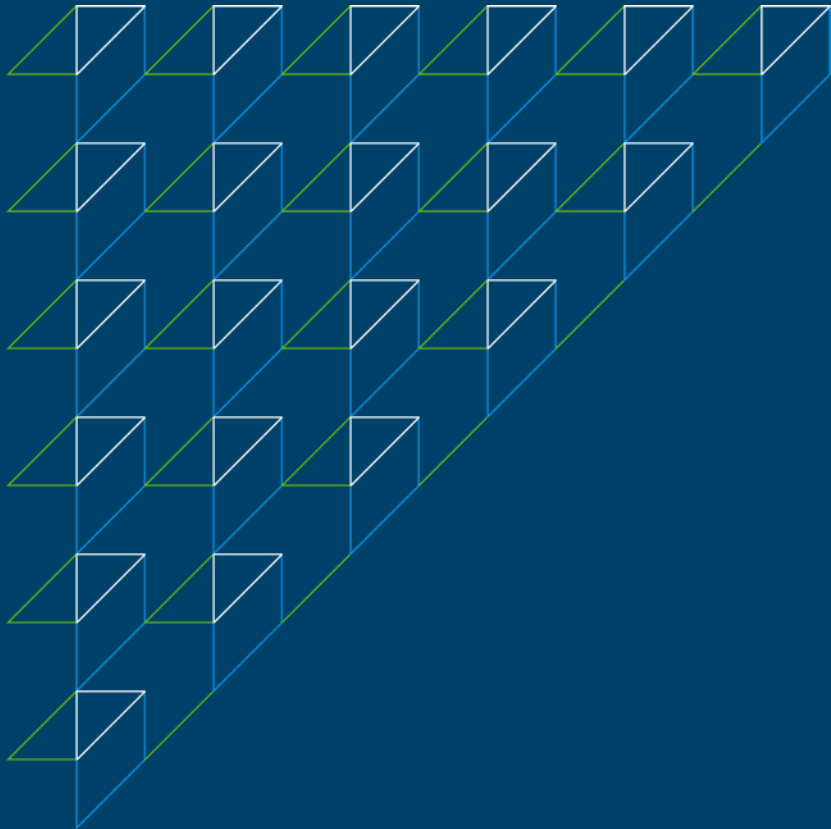
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,960,343	89.10%	4,722,718	70.60%	1,237,625	18.50%
	Corporates	455,874	6.81%	0	0.00%	455,874	6.81%
	Institution	252,023	3.77%	1,858,364	27.78%	-1,606,341	-24.01%
	Total Saudi Investors	6,668,240	99.68%	6,581,082	98.38%	87,159	1.30%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	21,405	0.32%	108,564	1.62%	-87,159	-1.30%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	21,405	0.32%	108,564	1.62%	-87,159	-1.30%
Grand Total		6,689,645	100.00%	6,689,645	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	707,897	10.58%	1,858,364	27.78%	-1,150,467	-17.20%
Non-Institutional	5,981,748	89.42%	4,831,281	72.22%	1,150,467	17.20%
Grand Total	6,689,645	100.00%	6,689,645	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 4 January 2018		As of 11 January 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,973,282,790	88.80%	1,937,070,032	88.75%	-36,212,758	-0.05%
	Corporates	117,720,514	5.30%	117,836,755	5.40%	116,241	0.10%
	Institution	109,480,862	4.93%	106,349,486	4.87%	-3,131,376	-0.05%
	Total Saudi Investors	2,200,484,166	99.03%	2,161,256,272	99.03%	-39,227,893	0.00%
GCC	Individuals	31,941	0.00%	30,623	0.00%	-1,318	0.00%
	Institutions	13,668,210	0.62%	13,622,649	0.62%	-45,561	0.01%
	Total GCC Investors	13,700,151	0.62%	13,653,272	0.63%	-46,879	0.01%
Foreign	SWAP Holders	206,272	0.01%	213,190	0.01%	6,917	0.00%
	Foreign Residents	3,274,651	0.15%	3,115,131	0.14%	-159,519	0.00%
	QFIs	4,400,580	0.20%	4,267,285	0.20%	-133,296	0.00%
	Total Foreign Investors	7,881,503	0.35%	7,595,605	0.35%	-285,898	-0.01%
Grand Total		2,222,065,820	100.00%	2,182,505,150	100.00%	-39,560,670	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 4 January 2018		As of 11 January 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	245,476,439	11.05%	242,289,364	11.10%	-3,187,074	0.05%
Non-Institutional	1,976,589,381	88.95%	1,940,215,786	88.90%	-36,373,596	-0.05%
Grand Total	2,222,065,820	100.00%	2,182,505,150	100.00%	-39,560,670	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

