

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 11 October 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,300,572,382	35.50%	5,856,386,006	39.23%	-555,813,623	-3.72%
	HNWIs	4,713,956,501	31.57%	4,438,771,451	29.73%	275,185,050	1.84%
	IPIs	931,661,092	6.24%	1,051,314,261	7.04%	-119,653,169	-0.80%
	Individual DPMs	224,426,972	1.50%	302,409,962	2.03%	-77,982,990	-0.52%
	<b>Sub Total (Individuals)</b>	<b>11,170,616,947</b>	<b>74.82%</b>	<b>11,648,881,679</b>	<b>78.02%</b>	<b>-478,264,732</b>	<b>-3.20%</b>
	<b>Institutions:</b>						
	Corporates	1,708,025,969	11.44%	457,455,301	3.06%	1,250,570,668	8.38%
	Mutual Funds	361,525,491	2.42%	340,799,205	2.28%	20,726,287	0.14%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	254,756,425	1.71%	323,783,379	2.17%	-69,026,954	-0.46%
	<b>Sub Total (Institutions)</b>	<b>2,324,307,885</b>	<b>15.57%</b>	<b>1,122,037,885</b>	<b>7.52%</b>	<b>1,202,270,000</b>	<b>8.05%</b>
	<b>Total Saudi Investors</b>	<b>13,494,924,832</b>	<b>90.39%</b>	<b>12,770,919,564</b>	<b>85.54%</b>	<b>724,005,268</b>	<b>4.85%</b>
GCC	Individuals	70,578,359	0.47%	110,929,546	0.74%	-40,351,187	-0.27%
	Institutions	366,699,267	2.46%	425,916,385	2.85%	-59,217,118	-0.40%
	GCC DPMs	1,718,119	0.01%	6,220,389	0.04%	-4,502,271	-0.03%
	<b>Total GCC Investors</b>	<b>438,995,744</b>	<b>2.94%</b>	<b>543,066,320</b>	<b>3.64%</b>	<b>-104,070,576</b>	<b>-0.70%</b>
Foreign	SWAP Holders	177,534,893	1.19%	700,925,149	4.69%	-523,390,256	-3.51%
	Foreign Residents	168,401,576	1.13%	209,862,741	1.41%	-41,461,165	-0.28%
	QFIs	649,907,942	4.35%	704,991,214	4.72%	-55,083,272	-0.37%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>995,844,411</b>	<b>6.67%</b>	<b>1,615,779,104</b>	<b>10.82%</b>	<b>-619,934,692</b>	<b>-4.15%</b>
<b>Grand Total</b>		<b>14,929,764,987</b>	<b>100.00%</b>	<b>14,929,764,987</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,676,256,169	31.32%	4,313,815,244	28.89%	362,440,925	2.43%
Non-Institutional	10,253,508,818	68.68%	10,615,949,743	71.11%	-362,440,925	-2.43%
<b>Grand Total</b>	<b>14,929,764,987</b>	<b>100.00%</b>	<b>14,929,764,987</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 4 October 2018		As of 11 October 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	<b>Individuals:</b>							
	Retail	74,623,686,371	3.93%	70,895,074,920	3.97%	-3,728,611,450	0.04%	
	HNWIs	147,906,955,614	7.80%	139,297,941,379	7.81%	-8,609,014,235	0.01%	
	IPIs	261,483,427,388	13.78%	247,399,407,278	13.87%	-14,084,020,110	0.08%	
	Individual DPMs	5,613,492,975	0.30%	5,220,972,138	0.29%	-392,520,838	0.00%	
	<b>Sub Total (Individuals)</b>	<b>489,627,562,348</b>	<b>25.81%</b>	<b>462,813,395,715</b>	<b>25.94%</b>	<b>-26,814,166,633</b>	<b>0.13%</b>	
	<b>Institutions:</b>							
	Corporates	296,396,696,795	15.62%	278,626,117,739	15.62%	-17,770,579,056	-0.01%	
	Mutual Funds	94,638,809,274	4.99%	90,023,631,700	5.05%	-4,615,177,574	0.06%	
	GRE's	760,034,826,408	40.06%	712,413,151,959	39.93%	-47,621,674,450	-0.14%	
	Institution DPMs	121,864,915,936	6.42%	114,116,485,392	6.40%	-7,748,430,544	-0.03%	
	<b>Sub Total (Institutions)</b>	<b>1,272,935,248,413</b>	<b>67.10%</b>	<b>1,195,179,386,790</b>	<b>66.98%</b>	<b>-77,755,861,624</b>	<b>-0.11%</b>	
<b>Total Saudi Investors</b>	<b>1,762,562,810,762</b>	<b>92.91%</b>	<b>1,657,992,782,505</b>	<b>92.92%</b>	<b>-104,570,028,257</b>	<b>0.02%</b>		
GCC	Individuals	3,114,525,136	0.16%	2,905,313,314	0.16%	-209,211,822	0.00%	
	Institutions	35,567,398,369	1.87%	33,079,752,587	1.85%	-2,487,645,782	-0.02%	
	GCC DPMs	851,652,599	0.04%	802,618,296	0.04%	-49,034,302	0.00%	
	<b>Total GCC Investors</b>	<b>39,533,576,104</b>	<b>2.08%</b>	<b>36,787,684,197</b>	<b>2.06%</b>	<b>-2,745,891,906</b>	<b>-0.02%</b>	
Foreign	SWAP Holders	13,622,800,563	0.72%	12,564,414,567	0.70%	-1,058,385,996	-0.01%	
	Foreign Residents	6,908,426,667	0.36%	6,609,249,832	0.37%	-299,176,835	0.01%	
	QFIs	16,917,003,726	0.89%	16,464,816,071	0.92%	-452,187,655	0.03%	
	Foreign DPMs	3,267,043,482	0.17%	3,077,838,365	0.17%	-189,205,116	0.00%	
	Strategic Partners	54,312,180,457	2.86%	50,779,364,873	2.85%	-3,532,815,584	-0.02%	
<b>Total Foreign Investors</b>	<b>95,027,454,895</b>	<b>5.01%</b>	<b>89,495,683,709</b>	<b>5.02%</b>	<b>-5,531,771,185</b>	<b>0.01%</b>		
<b>Grand Total</b>		<b>1,897,123,841,760</b>	<b>100.00%</b>	<b>1,784,276,150,411</b>	<b>100.00%</b>	<b>-112,847,691,349</b>		

\* Non deposited amount of 614,400,000 SAR was not reflected as on 11 October holding value ( The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Tradable Rights )

\* Non deposited amount of 309,420,000 SAR was not reflected as on 11 October holding value ( Saudi Industrial Export Co. 4140 - Corporate Action -Tradable Rights )

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 4 October 2018		As of 11 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,664,570,247,972	87.74%	1,564,568,570,966	87.69%	-100,001,677,006	-0.06%
Non-Institutional	232,553,593,788	12.26%	219,707,579,446	12.31%	-12,846,014,343	0.06%
<b>Grand Total</b>	<b>1,897,123,841,760</b>	<b>100.00%</b>	<b>1,784,276,150,411</b>	<b>100.00%</b>	<b>-112,847,691,349</b>	

## Definitions

### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	3,603,473	57.34%	4,682,915	74.51%	-1,079,442	-17.18%
	Corporates	1,352,744	21.52%	1,557,913	24.79%	-205,169	-3.26%
	Institution	75,885	1.21%	64	0.00%	75,822	1.21%
	<b>Total Saudi Investors</b>	<b>5,032,103</b>	<b>80.07%</b>	<b>6,240,892</b>	<b>99.30%</b>	<b>-1,208,789</b>	<b>-19.23%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	43,800	0.70%	-43,800	-0.70%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>43,800</b>	<b>0.70%</b>	<b>-43,800</b>	<b>-0.70%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	33,094	0.53%	74	0.00%	33,020	0.53%
	QFIs	1,219,569	19.41%	0	0.00%	1,219,569	19.41%
	<b>Total Foreign Investors</b>	<b>1,252,662</b>	<b>19.93%</b>	<b>74</b>	<b>0.00%</b>	<b>1,252,589</b>	<b>19.93%</b>
<b>Grand Total</b>		<b>6,284,765</b>	<b>100.00%</b>	<b>6,284,765</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,648,198	42.14%	1,601,776	25.49%	1,046,422	16.65%
Non-Institutional	3,636,567	57.86%	4,682,989	74.51%	-1,046,422	-16.65%
<b>Grand Total</b>	<b>6,284,765</b>	<b>100.00%</b>	<b>6,284,765</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 4 October 2018		As of 11 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,979,402,069	91.60%	1,876,628,297	91.50%	-102,773,772	-0.10%
	Corporates	114,168,445	5.28%	110,298,421	5.38%	-3,870,024	0.09%
	Institution	42,224,463	1.95%	39,192,167	1.91%	-3,032,296	-0.04%
	<b>Total Saudi Investors</b>	<b>2,135,794,977</b>	<b>98.84%</b>	<b>2,026,118,884</b>	<b>98.79%</b>	<b>-109,676,093</b>	<b>-0.05%</b>
GCC	Individuals	23,322	0.00%	22,815	0.00%	-507	0.00%
	Institutions	13,343,819	0.62%	13,019,381	0.63%	-324,438	0.02%
	<b>Total GCC Investors</b>	<b>13,367,141</b>	<b>0.62%</b>	<b>13,042,196</b>	<b>0.64%</b>	<b>-324,945</b>	<b>0.02%</b>
Foreign	SWAP Holders	196,662	0.01%	193,635	0.01%	-3,027	0.00%
	Foreign Residents	2,042,786	0.09%	1,986,888	0.10%	-55,899	0.00%
	QFIs	9,545,934	0.44%	9,628,397	0.47%	82,463	0.03%
	<b>Total Foreign Investors</b>	<b>11,785,382</b>	<b>0.55%</b>	<b>11,808,920</b>	<b>0.58%</b>	<b>23,538</b>	<b>0.03%</b>
<b>Grand Total</b>		<b>2,160,947,500</b>	<b>100.00%</b>	<b>2,050,970,000</b>	<b>100.00%</b>	<b>-109,977,500</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 4 October 2018		As of 11 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
<b>Institutional</b>	179,479,322	8.31%	172,332,000	8.40%	-7,147,322	0.10%
<b>Non-Institutional</b>	1,981,468,178	91.69%	1,878,638,000	91.60%	-102,830,178	-0.10%
<b>Grand Total</b>	<b>2,160,947,500</b>	<b>100.00%</b>	<b>2,050,970,000</b>	<b>100.00%</b>	<b>-109,977,500</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

