

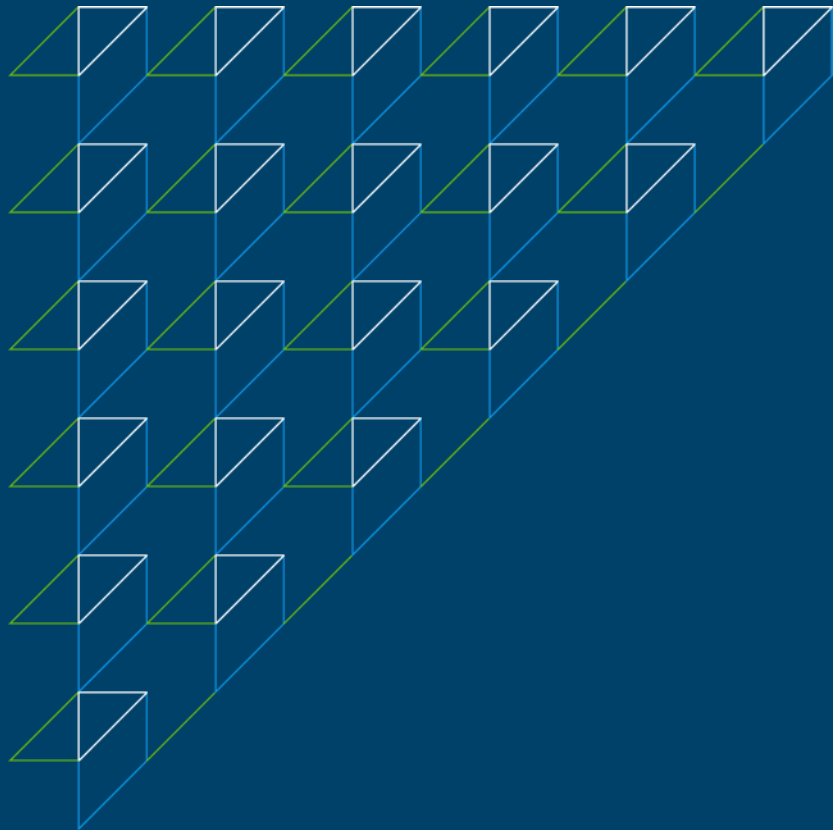
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 24 August 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

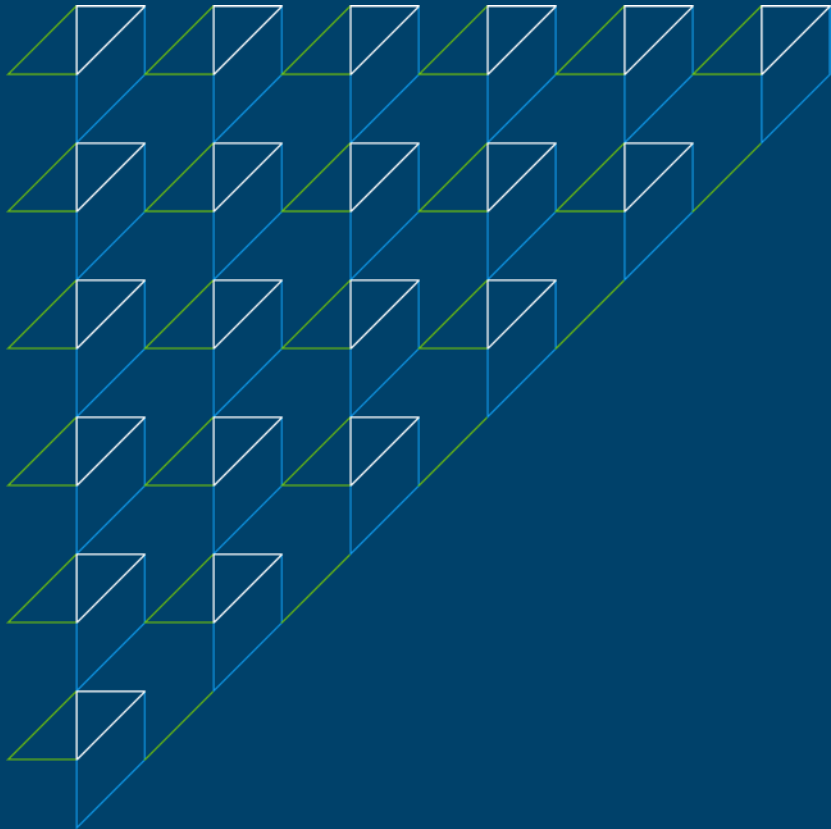
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,912,876,009	47.61%	8,276,839,353	57.01%	-1,363,963,344	-9.39%
	HNWIs	3,725,532,939	25.66%	3,792,584,617	26.12%	-67,051,678	-0.46%
	IPIs	263,334,562	1.81%	376,521,012	2.59%	-113,186,451	-0.78%
	Individual DPMs	187,099,379	1.29%	215,064,060	1.48%	-27,964,681	-0.19%
	Sub Total (Individuals)	11,088,842,888	76.37%	12,661,009,042	87.20%	-1,572,166,154	-10.83%
	Institutions:						
	Corporates	1,378,555,618	9.49%	457,055,671	3.15%	921,499,947	6.35%
	Mutual Funds	668,059,873	4.60%	420,944,660	2.90%	247,115,214	1.70%
	GRE's	6,034,627	0.04%	31,759,777	0.22%	-25,725,150	-0.18%
	Institution DPMs	191,915,903	1.32%	111,601,209	0.77%	80,314,693	0.55%
	Sub Total (Institutions)	2,244,566,021	15.46%	1,021,361,317	7.03%	1,223,204,704	8.42%
Total Saudi Investors	13,333,408,909	91.83%	13,682,370,359	94.24%	-348,961,450	-2.40%	
GCC	Individuals	80,697,520	0.56%	66,049,620	0.45%	14,647,900	0.10%
	Institutions	396,892,202	2.73%	277,453,515	1.91%	119,438,687	0.82%
	GCC DPMs	4,313,603	0.03%	1,600,337	0.01%	2,713,266	0.02%
	Total GCC Investors	481,903,324	3.32%	345,103,471	2.38%	136,799,853	0.94%
Foreign	SWAP Holders	305,771,869	2.11%	111,209,009	0.77%	194,562,860	1.34%
	Foreign Residents	209,178,152	1.44%	226,657,982	1.56%	-17,479,830	-0.12%
	QFIs	177,434,255	1.22%	137,021,438	0.94%	40,412,817	0.28%
	Foreign DPMs	11,483,062	0.08%	14,743,365	0.10%	-3,260,303	-0.02%
	Strategic Partners	0	0.00%	2,073,947	0.01%	-2,073,947	-0.01%
	Total Foreign Investors	703,867,338	4.85%	491,705,740	3.39%	212,161,597	1.46%
Grand Total		14,519,179,571	100.00%	14,519,179,571	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,590,894,952	24.73%	2,157,047,999	14.86%	1,433,846,953	9.88%
Non-Institutional	10,928,284,619	75.27%	12,362,131,571	85.14%	-1,433,846,953	-9.88%
Grand Total	14,519,179,571	100.00%	14,519,179,571	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 17 August 2017		As of 24 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	72,191,298,341	4.25%	72,928,300,753	4.26%	737,002,412	0.01%
	HNWIs	131,488,659,023	7.74%	132,106,677,492	7.71%	618,018,469	-0.03%
	IPIs	255,945,488,002	15.07%	257,936,264,160	15.06%	1,990,776,159	-0.02%
	Individual DPMs	5,030,719,777	0.30%	5,137,997,775	0.30%	107,277,998	0.00%
	Sub Total (Individuals)	464,656,165,143	27.37%	468,109,240,181	27.32%	3,453,075,038	-0.04%
	Institutions:						
	Corporates	278,300,089,207	16.39%	280,388,405,053	16.37%	2,088,315,846	-0.02%
	Mutual Funds	103,034,224,970	6.07%	104,358,506,987	6.09%	1,324,282,016	0.02%
	GRE's	688,029,426,051	40.52%	695,315,240,074	40.59%	7,285,814,023	0.06%
	Institution DPMs	49,242,554,135	2.90%	49,804,363,667	2.91%	561,809,532	0.01%
	Sub Total (Institutions)	1,118,606,294,363	65.88%	1,129,866,515,781	65.95%	11,260,221,418	0.07%
Total Saudi Investors	1,583,262,459,507	93.25%	1,597,975,755,962	93.28%	14,713,296,455	0.03%	
GCC	Individuals	2,969,021,520	0.17%	2,997,971,106	0.17%	28,949,586	0.00%
	Institutions	32,695,153,278	1.93%	32,828,527,588	1.92%	133,374,311	-0.01%
	GCC DPMs	832,663,233	0.05%	845,042,091	0.05%	12,378,858	0.00%
	Total GCC Investors	36,496,838,030	2.15%	36,671,540,785	2.14%	174,702,755	-0.01%
Foreign	SWAP Holders	10,001,441,238	0.59%	10,047,734,673	0.59%	46,293,435	0.00%
	Foreign Residents	4,352,245,347	0.26%	4,324,071,298	0.25%	-28,174,049	0.00%
	QFIs	6,891,577,132	0.41%	7,239,394,872	0.42%	347,817,740	0.02%
	Foreign DPMs	2,646,221,568	0.16%	2,697,796,616	0.16%	51,575,048	0.00%
	Strategic Partners	54,223,103,007	3.19%	54,223,076,365	3.17%	-26,642	-0.03%
	Total Foreign Investors	78,114,588,292	4.60%	78,532,073,823	4.58%	417,485,532	-0.02%
Grand Total		1,697,873,885,828	100.00%	1,713,179,370,570	100.00%	15,305,484,742	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 17 August 2017		As of 24 August 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,486,872,661,597	87.57%	1,500,822,349,921	87.60%	13,949,688,324	0.03%
Non-Institutional	211,001,224,231	12.43%	212,357,020,649	12.40%	1,355,796,418	-0.03%
Grand Total	1,697,873,885,828	100.00%	1,713,179,370,570	100.00%	15,305,484,742	

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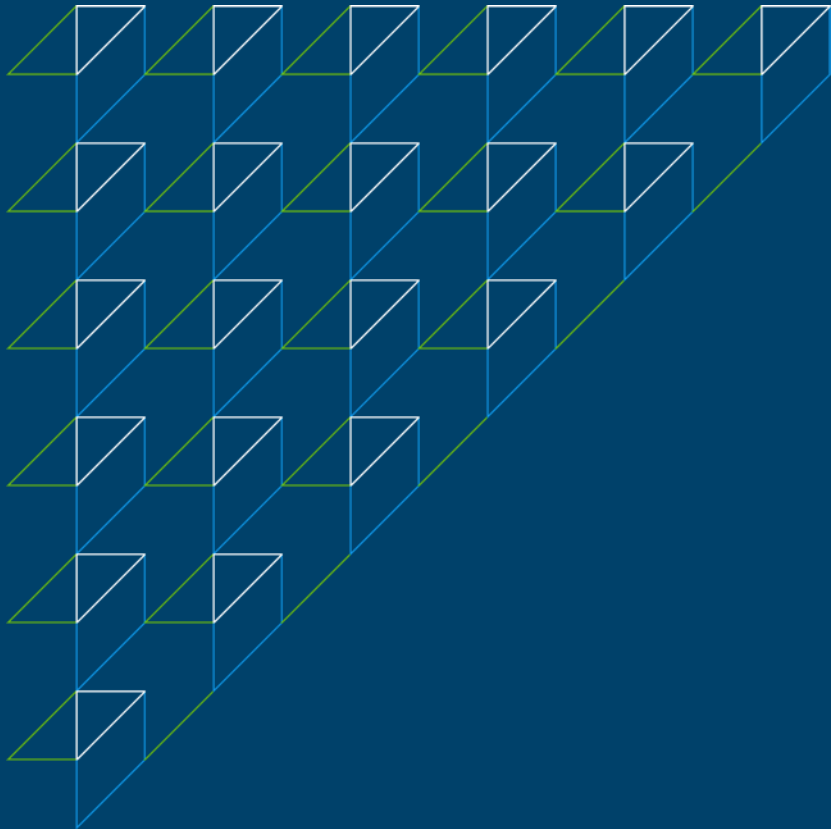
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	8,050,320	93.29%	8,421,327	97.59%	-371,006	-4.30%
	Corporates	285,957	3.31%	0	0.00%	285,957	3.31%
	Institution	62,587	0.73%	80,575	0.93%	-17,988	-0.21%
	Total Saudi Investors	8,398,865	97.33%	8,501,902	98.53%	-103,037	-1.19%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	103,195	1.20%	0	0.00%	103,195	1.20%
	Total GCC Investors	103,195	1.20%	0	0.00%	103,195	1.20%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	126,972	1.47%	127,130	1.47%	-158	0.00%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	126,972	1.47%	127,130	1.47%	-158	0.00%
Grand Total		8,629,032	100.00%	8,629,032	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	451,739	5.24%	80,575	0.93%	371,164	4.30%
Non-Institutional	8,177,292	94.76%	8,548,457	99.07%	-371,164	-4.30%
Grand Total	8,629,032	100.00%	8,629,032	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 17 August 2017		As of 24 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,136,696,947	88.13%	2,153,827,646	88.14%	17,130,700	0.01%
	Corporates	137,968,329	5.69%	137,613,846	5.63%	-354,483	-0.06%
	Institution	131,481,189	5.42%	133,151,147	5.45%	1,669,958	0.03%
	Total Saudi Investors	2,406,146,466	99.24%	2,424,592,640	99.22%	18,446,174	-0.02%
GCC	Individuals	29,736	0.00%	30,674	0.00%	938	0.00%
	Institutions	12,049,790	0.50%	12,429,500	0.51%	379,710	0.01%
	Total GCC Investors	12,079,526	0.50%	12,460,174	0.51%	380,648	0.01%
Foreign	SWAP Holders	209,775	0.01%	210,401	0.01%	626	0.00%
	Foreign Residents	4,594,612	0.19%	4,682,766	0.19%	88,154	0.00%
	QFIs	1,431,032	0.06%	1,662,640	0.07%	231,609	0.01%
	Total Foreign Investors	6,235,419	0.26%	6,555,807	0.27%	320,388	0.01%
Grand Total		2,424,461,410	100.00%	2,443,608,620	100.00%	19,147,210	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 17 August 2017		As of 24 August 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	283,140,116	11.68%	285,067,535	11.67%	1,927,419	-0.01%
Non-Institutional	2,141,321,294	88.32%	2,158,541,085	88.33%	17,219,791	0.01%
Grand Total	2,424,461,410	100.00%	2,443,608,620	100.00%	19,147,210	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

