

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 27 September 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	2,849,258,961	32.08%	3,418,304,367	38.49%	-569,045,406	-6.41%
	HNWIs	2,286,945,662	25.75%	2,476,103,414	27.88%	-189,157,751	-2.13%
	IPIs	415,227,323	4.68%	674,878,874	7.60%	-259,651,551	-2.92%
	Individual DPMs	147,100,319	1.66%	165,272,982	1.86%	-18,172,663	-0.20%
	Sub Total (Individuals)	5,698,532,266	64.17%	6,734,559,636	75.83%	-1,036,027,371	-11.67%
	Institutions:						
	Corporates	1,580,663,097	17.80%	327,480,838	3.69%	1,253,182,259	14.11%
	Mutual Funds	202,551,022	2.28%	151,083,121	1.70%	51,467,901	0.58%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	103,395,330	1.16%	202,863,058	2.28%	-99,467,728	-1.12%
	Sub Total (Institutions)	1,886,609,449	21.24%	681,427,017	7.67%	1,205,182,432	13.57%
Total Saudi Investors	7,585,141,715	85.41%	7,415,986,653	83.51%	169,155,061	1.90%	
GCC	Individuals	74,345,340	0.84%	36,048,988	0.41%	38,296,352	0.43%
	Institutions	265,586,132	2.99%	213,694,640	2.41%	51,891,492	0.58%
	GCC DPMs	80,533	0.00%	0	0.00%	80,533	0.00%
	Total GCC Investors	340,012,005	3.83%	249,743,628	2.81%	90,268,377	1.02%
Foreign	SWAP Holders	190,703,705	2.15%	191,355,352	2.15%	-651,647	-0.01%
	Foreign Residents	93,905,544	1.06%	142,650,255	1.61%	-48,744,711	-0.55%
	QFIs	670,710,194	7.55%	121,401,039	1.37%	549,309,154	6.19%
	Foreign DPMs	334,257	0.00%	358,268	0.00%	-24,011	0.00%
	Strategic Partners	0	0.00%	759,312,225	8.55%	-759,312,225	-8.55%
	Total Foreign Investors	955,653,700	10.76%	1,215,077,139	13.68%	-259,423,439	-2.92%
Grand Total		8,880,807,420	100.00%	8,880,807,420	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,576,351,912	40.27%	2,807,700,397	31.62%	768,651,516	8.66%
Non-Institutional	5,304,455,507	59.73%	6,073,107,023	68.38%	-768,651,516	-8.66%
Grand Total	8,880,807,420	100.00%	8,880,807,420	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 20 September 2018		As of 27 September 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	75,611,545,899	4.10%	76,364,303,341	4.07%	752,757,442	-0.02%	
	HNWIs	145,150,391,744	7.86%	146,746,419,286	7.83%	1,596,027,541	-0.04%	
	IPIs	252,170,953,079	13.66%	256,532,045,688	13.68%	4,361,092,609	0.02%	
	Individual DPMs	5,570,897,119	0.30%	5,646,593,412	0.30%	75,696,293	0.00%	
	Sub Total (Individuals)	478,503,787,842	25.92%	485,289,361,727	25.88%	6,785,573,885	-0.04%	
	Institutions:							
	Corporates	290,109,113,103	15.71%	293,314,523,346	15.64%	3,205,410,242	-0.07%	
	Mutual Funds	93,484,547,404	5.06%	93,437,504,135	4.98%	-47,043,269	-0.08%	
	GRE's	728,041,015,735	39.44%	741,771,102,271	39.56%	13,730,086,536	0.12%	
	Institution DPMs	125,348,963,188	6.79%	127,393,256,092	6.79%	2,044,292,904	0.00%	
Sub Total (Institutions)	1,236,983,639,430	67.00%	1,255,916,385,844	66.97%	18,932,746,413	-0.03%		
Total Saudi Investors	1,715,487,427,272	92.92%	1,741,205,747,571	92.85%	25,718,320,299	-0.07%		
GCC	Individuals	2,947,272,330	0.16%	3,008,384,858	0.16%	61,112,527	0.00%	
	Institutions	34,528,585,305	1.87%	35,115,731,117	1.87%	587,145,812	0.00%	
	GCC DPMs	811,655,651	0.04%	835,484,611	0.04%	23,828,960	0.00%	
	Total GCC Investors	38,287,513,287	2.07%	38,959,600,585	2.08%	672,087,299	0.00%	
Foreign	SWAP Holders	13,027,923,909	0.71%	13,389,241,607	0.71%	361,317,699	0.01%	
	Foreign Residents	3,533,750,837	0.19%	3,570,841,155	0.19%	37,090,318	0.00%	
	QFIs	15,453,206,455	0.84%	16,194,095,521	0.86%	740,889,066	0.03%	
	Foreign DPMs	3,102,569,271	0.17%	3,187,072,389	0.17%	84,503,118	0.00%	
	Strategic Partners	57,252,954,251	3.10%	58,740,471,282	3.13%	1,487,517,031	0.03%	
Total Foreign Investors	92,370,404,723	5.00%	95,081,721,955	5.07%	2,711,317,231	0.07%		
Grand Total		1,846,145,345,282	100.00%	1,875,247,070,111	100.00%	29,101,724,829		

* Non deposited amount of 587,200,000 SAR was not reflected as on 27 September holding value (The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 20 September 2018		As of 27 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,618,902,384,471	87.69%	1,645,557,121,472	87.75%	26,654,737,001	0.06%
Non-Institutional	227,242,960,811	12.31%	229,689,948,639	12.25%	2,446,987,828	-0.06%
Grand Total	1,846,145,345,282	100.00%	1,875,247,070,111	100.00%	29,101,724,829	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	2,821,037	92.12%	2,467,715	80.58%	353,321	11.54%
	Corporates	241,252	7.88%	105,885	3.46%	135,368	4.42%
	Institution	0	0.00%	376,423	12.29%	-376,423	-12.29%
	Total Saudi Investors	3,062,289	100.00%	2,950,023	96.33%	112,266	3.67%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	76,826	2.51%	-76,826	-2.51%
	Foreign Residents	0	0.00%	28,835	0.94%	-28,835	-0.94%
	QFIs	0	0.00%	6,606	0.22%	-6,606	-0.22%
	Total Foreign Investors	0	0.00%	112,266	3.67%	-112,266	-3.67%
Grand Total		3,062,289	100.00%	3,062,289	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	241,252	7.88%	565,739	18.47%	-324,487	-10.60%
Non-Institutional	2,821,037	92.12%	2,496,550	81.53%	324,487	10.60%
Grand Total	3,062,289	100.00%	3,062,289	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 20 September 2018		As of 27 September 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,972,818,143	91.59%	1,972,533,540	91.56%	-284,603	-0.02%
	Corporates	112,703,959	5.23%	114,100,536	5.30%	1,396,577	0.06%
	Institution	43,358,816	2.01%	42,407,117	1.97%	-951,699	-0.04%
	Total Saudi Investors	2,128,880,918	98.83%	2,129,041,193	98.83%	160,275	0.00%
GCC	Individuals	23,829	0.00%	24,463	0.00%	634	0.00%
	Institutions	13,343,819	0.62%	13,343,819	0.62%	0	0.00%
	Total GCC Investors	13,367,648	0.62%	13,368,281	0.62%	634	0.00%
Foreign	SWAP Holders	911,999	0.04%	362,436	0.02%	-549,563	-0.03%
	Foreign Residents	2,040,739	0.09%	2,055,940	0.10%	15,200	0.00%
	QFIs	8,828,066	0.41%	9,444,650	0.44%	616,584	0.03%
	Total Foreign Investors	11,780,804	0.55%	11,863,025	0.55%	82,221	0.00%
Grand Total		2,154,029,370	100.00%	2,154,272,500	100.00%	243,130	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 20 September 2018		As of 27 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	179,146,658	8.32%	179,658,557	8.34%	511,899	0.02%
Non-Institutional	1,974,882,712	91.68%	1,974,613,943	91.66%	-268,769	-0.02%
Grand Total	2,154,029,370	100.00%	2,154,272,500	100.00%	243,130	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

