Definitions
# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

<table>
<thead>
<tr>
<th>Nationality</th>
<th>Investor Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Saudi</strong></td>
<td><strong>Individuals</strong>*</td>
<td>Saudi Individuals have been classified under 4 categories as defined below:</td>
</tr>
<tr>
<td></td>
<td>Retail</td>
<td>Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.</td>
</tr>
<tr>
<td></td>
<td>High Net Worth Investors (HNWIs)</td>
<td>HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).</td>
</tr>
<tr>
<td></td>
<td>Individual Professional Investors (IPIs)</td>
<td>IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.</td>
</tr>
<tr>
<td></td>
<td>Individual DPMs</td>
<td>Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on the agreed terms between them).</td>
</tr>
<tr>
<td><strong>Institutions</strong></td>
<td></td>
<td>Saudi Institutions have been classified under 4 categories as defined below:</td>
</tr>
<tr>
<td></td>
<td>Corporates</td>
<td>Saudi company with a commercial registration permitting its investment in the stock market.</td>
</tr>
<tr>
<td></td>
<td>Mutual Funds</td>
<td>A mutual fund managed by an Authorized Person and licensed by the CMA.</td>
</tr>
<tr>
<td></td>
<td>Government Related Entities (GREs)</td>
<td>A Government entity or a supranational authority recognized by the CMA.</td>
</tr>
<tr>
<td></td>
<td>Institutional DPMs</td>
<td>Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on the agreed terms between them).</td>
</tr>
<tr>
<td><strong>GCC</strong></td>
<td><strong>Individuals</strong>*</td>
<td>GCC natural person</td>
</tr>
<tr>
<td></td>
<td>Institutions</td>
<td>GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.</td>
</tr>
<tr>
<td></td>
<td>GCC DPMs</td>
<td>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on the agreed terms between them).</td>
</tr>
<tr>
<td><strong>Foreign</strong></td>
<td><strong>Foreign Residents</strong>*</td>
<td>Foreign investors domiciled in the Kingdom with a valid residency permit (iqama) (excluding GCC nationals).</td>
</tr>
<tr>
<td></td>
<td>QFIs</td>
<td>Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.</td>
</tr>
<tr>
<td></td>
<td>Foreign DPMs</td>
<td>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on the agreed terms between them).</td>
</tr>
<tr>
<td></td>
<td>Strategic Partners</td>
<td>Foreign investors considered founders and partners of Saudi listed companies.</td>
</tr>
</tbody>
</table>

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
Main Market - Value Traded & Ownership Breakdown
## Main Market Value Traded Breakdown - By Nationality and Investor Type

<table>
<thead>
<tr>
<th>Nationality</th>
<th>Investor Type</th>
<th>Buy</th>
<th>Sell</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>SAR</td>
<td>% of Total Buys</td>
</tr>
<tr>
<td></td>
<td></td>
<td>SAR</td>
<td>(Buy - Sell)</td>
</tr>
<tr>
<td>Saudi</td>
<td>Individuals:</td>
<td>Retail 7,486,311,484 48.03% 9,212,495,239 59.11%</td>
<td>-1,726,183,755 -11.07%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>HNWIs 4,179,036,812 26.81% 4,179,581,189 26.82%</td>
<td>-544,377 0.00%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IPs 273,454,594 1.75% 340,874,165 2.19%</td>
<td>-67,419,572 -0.43%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Individual DPMs 189,550,584 1.22% 257,646,420 1.65%</td>
<td>-68,095,836 -0.44%</td>
</tr>
<tr>
<td></td>
<td>Sub Total (Individuals)</td>
<td>12,128,353,474 77.81% 13,990,597,014 89.76%</td>
<td>-1,862,243,540 -11.95%</td>
</tr>
<tr>
<td></td>
<td>Institutions:</td>
<td>Corporates 1,695,494,301 10.88% 458,488,376 2.94%</td>
<td>1,237,005,925 7.94%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Mutual Funds 613,801,273 3.94% 353,741,308 2.27%</td>
<td>260,059,966 1.67%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>GRE's 975,718 0.01% 800,110 0.01%</td>
<td>175,608 0.00%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Institution DPMs 286,220,280 1.84% 161,709,731 1.04%</td>
<td>124,510,549 0.80%</td>
</tr>
<tr>
<td></td>
<td>Sub Total (Institutions)</td>
<td>2,596,491,572 16.66% 974,739,524 6.25%</td>
<td>1,621,752,048 10.40%</td>
</tr>
<tr>
<td></td>
<td>Total Saudi Investors</td>
<td>14,724,845,046 94.47% 14,965,336,538 96.01%</td>
<td>-240,491,492 -1.54%</td>
</tr>
<tr>
<td>GCC</td>
<td>Individuals:</td>
<td>59,439,066 0.38% 56,266,572 0.36%</td>
<td>3,172,494 0.02%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Institutions 185,209,175 1.19% 92,151,170 0.59%</td>
<td>93,058,005 0.60%</td>
</tr>
<tr>
<td></td>
<td>GCC DPMs 1,154,263 0.01% 1,228,045 0.01%</td>
<td>-73,782 0.00%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total GCC Investors</td>
<td>245,802,503 1.58% 149,645,787 0.96%</td>
<td>96,156,717 0.62%</td>
</tr>
<tr>
<td>Foreign</td>
<td>SWAP Holders</td>
<td>131,779,014 0.85% 120,970,235 0.78%</td>
<td>10,808,779 0.07%</td>
</tr>
<tr>
<td></td>
<td>Foreign Residents</td>
<td>257,369,427 1.65% 286,527,190 1.84%</td>
<td>-29,157,764 -0.19%</td>
</tr>
<tr>
<td></td>
<td>QFIs 217,371,330 1.39% 62,130,455 0.40%</td>
<td>155,240,875 1.00%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Foreign DPMs</td>
<td>9,395,541 0.06% 399,946 0.00%</td>
<td>9,001,595 0.06%</td>
</tr>
<tr>
<td></td>
<td>Strategic Partners 0 0.00% 1,558,711 0.01%</td>
<td>-1,558,711 -0.01%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total Foreign Investors</td>
<td>615,915,313 3.95% 471,580,537 3.03%</td>
<td>144,334,775 0.93%</td>
</tr>
<tr>
<td>Grand Total</td>
<td></td>
<td>15,586,562,861 100.00% 15,586,562,861 100.00%</td>
<td></td>
</tr>
</tbody>
</table>
## Weekly Report - Main Market
### Value Traded (by Investor Classification)

<table>
<thead>
<tr>
<th>Investor Classification</th>
<th>Buy</th>
<th>Sell</th>
<th>Net Value Traded</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>% of Total Buys</td>
<td>SAR</td>
<td>% of Total Sells</td>
</tr>
<tr>
<td>Institutional</td>
<td>23.13%</td>
<td>3,604,406,073</td>
<td>11.88%</td>
</tr>
<tr>
<td>Non-Institutional</td>
<td>76.87%</td>
<td>11,982,156,788</td>
<td>88.12%</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>100.00%</strong></td>
<td><strong>15,586,562,861</strong></td>
<td><strong>100.00%</strong></td>
</tr>
</tbody>
</table>

### Definitions

**Institutional:**
Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

**Non Institutional:**
Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.
# Main Market Ownership Breakdown - By Nationality and Investor Type

<table>
<thead>
<tr>
<th>Nationality</th>
<th>Investor Type</th>
<th>As of 3 August 2017</th>
<th>As of 10 August 2017</th>
<th>Weekly Change</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Holding Value (SAR)</td>
<td>Ownership (%)</td>
<td>Holding Value (SAR)</td>
<td>Ownership (%)</td>
</tr>
<tr>
<td><strong>Saudi</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individuals</td>
<td>Retail</td>
<td>70,631,801,768</td>
<td>4.21%</td>
<td>71,656,054,349</td>
</tr>
<tr>
<td></td>
<td>HNWIs</td>
<td>129,835,723,576</td>
<td>7.73%</td>
<td>131,351,576,371</td>
</tr>
<tr>
<td></td>
<td>IPFs</td>
<td>253,131,414,318</td>
<td>15.08%</td>
<td>255,392,811,522</td>
</tr>
<tr>
<td></td>
<td>Individual DPMs</td>
<td>5,019,456,152</td>
<td>0.30%</td>
<td>5,057,510,338</td>
</tr>
<tr>
<td></td>
<td>Sub Total (Individuals)</td>
<td>458,618,395,814</td>
<td>27.32%</td>
<td>463,457,952,580</td>
</tr>
<tr>
<td>Institutions</td>
<td>Corporates</td>
<td>275,498,061,284</td>
<td>16.41%</td>
<td>278,418,557,844</td>
</tr>
<tr>
<td></td>
<td>Mutual Funds</td>
<td>102,702,832,636</td>
<td>6.12%</td>
<td>103,316,082,389</td>
</tr>
<tr>
<td></td>
<td>GRE's</td>
<td>681,412,930,859</td>
<td>40.59%</td>
<td>686,201,067,724</td>
</tr>
<tr>
<td></td>
<td>Institution DPMs</td>
<td>48,554,951,500</td>
<td>2.89%</td>
<td>49,171,201,841</td>
</tr>
<tr>
<td></td>
<td>Sub Total (Institutions)</td>
<td>1,108,168,776,278</td>
<td>66.01%</td>
<td>1,117,106,909,798</td>
</tr>
<tr>
<td></td>
<td>Total Saudi Investors</td>
<td>1,566,787,172,092</td>
<td>93.33%</td>
<td>1,580,564,862,378</td>
</tr>
<tr>
<td><strong>GCC</strong></td>
<td>Individuals</td>
<td>2,928,115,337</td>
<td>0.17%</td>
<td>2,969,024,061</td>
</tr>
<tr>
<td></td>
<td>Institutions</td>
<td>33,023,747,891</td>
<td>1.97%</td>
<td>32,982,653,167</td>
</tr>
<tr>
<td></td>
<td>GCC DPMs</td>
<td>661,364,182</td>
<td>0.04%</td>
<td>669,627,350</td>
</tr>
<tr>
<td></td>
<td>Total GCC Investors</td>
<td>36,613,227,410</td>
<td>2.18%</td>
<td>36,621,304,578</td>
</tr>
<tr>
<td><strong>Foreign</strong></td>
<td>SWAP Holders</td>
<td>9,830,869,378</td>
<td>0.59%</td>
<td>10,105,941,883</td>
</tr>
<tr>
<td></td>
<td>Foreign Residents</td>
<td>4,320,754,793</td>
<td>0.26%</td>
<td>4,337,779,115</td>
</tr>
<tr>
<td></td>
<td>QFIs</td>
<td>6,558,443,548</td>
<td>0.39%</td>
<td>6,690,725,263</td>
</tr>
<tr>
<td></td>
<td>Foreign DPMs</td>
<td>2,590,671,364</td>
<td>0.15%</td>
<td>2,648,767,504</td>
</tr>
<tr>
<td></td>
<td>Strategic Partners</td>
<td>52,009,307,315</td>
<td>3.10%</td>
<td>53,267,301,766</td>
</tr>
<tr>
<td></td>
<td>Total Foreign Investors</td>
<td>75,310,046,399</td>
<td>4.49%</td>
<td>77,050,515,531</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td>Holding Value (SAR)</td>
<td>1,678,710,445,901</td>
<td>100.00%</td>
<td>1,694,236,824,487</td>
</tr>
<tr>
<td></td>
<td>Ownership (%)</td>
<td>100.00%</td>
<td></td>
<td>100.00%</td>
</tr>
</tbody>
</table>
### Main Market Ownership Breakdown - By Investor Classification

<table>
<thead>
<tr>
<th>Investor Classification</th>
<th>As of 3 August 2017</th>
<th>As of 10 August 2017</th>
<th>Weekly Change</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Holding Value (SAR)</td>
<td>Ownership (%)</td>
<td>Holding Value (SAR)</td>
</tr>
<tr>
<td>Institutional</td>
<td>1,470,994,050,426</td>
<td>87.63%</td>
<td>1,483,922,248,591</td>
</tr>
<tr>
<td>Non-Institutional</td>
<td>207,716,395,475</td>
<td>12.37%</td>
<td>210,314,433,896</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td>1,678,710,445,901</td>
<td>100.00%</td>
<td>1,694,236,682,487</td>
</tr>
</tbody>
</table>

### Definitions

**Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

**Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.
Nomu-Parallel Market - Value Traded & Ownership Breakdown
### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

<table>
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<tr>
<th>Nationality</th>
<th>Investor Type</th>
<th>Buy</th>
<th>Sell</th>
<th>Net Value Traded</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>SAR</td>
<td>% of Total Buys</td>
<td>SAR</td>
</tr>
<tr>
<td>Saudi</td>
<td>Individuals</td>
<td>20,393,833</td>
<td>89.45%</td>
<td>16,066,789</td>
</tr>
<tr>
<td></td>
<td>Corporates</td>
<td>735,806</td>
<td>3.23%</td>
<td>12,150</td>
</tr>
<tr>
<td></td>
<td>Institution</td>
<td>950,217</td>
<td>4.17%</td>
<td>2,457,846</td>
</tr>
<tr>
<td>Total Saudi Investors</td>
<td></td>
<td>22,079,855</td>
<td>96.85%</td>
<td>18,536,785</td>
</tr>
<tr>
<td>GCC</td>
<td>Institutions</td>
<td>361,912</td>
<td>1.59%</td>
<td>3,766,685</td>
</tr>
<tr>
<td>Total GCC Investors</td>
<td></td>
<td>361,912</td>
<td>1.59%</td>
<td>3,766,685</td>
</tr>
<tr>
<td>Foreign</td>
<td>SWAP Holders</td>
<td>0</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Foreign Residents</td>
<td>242,495</td>
<td>1.06%</td>
<td>495,147</td>
</tr>
<tr>
<td></td>
<td>QFIs</td>
<td>114,354</td>
<td>0.50%</td>
<td>0</td>
</tr>
<tr>
<td>Total Foreign Investors</td>
<td></td>
<td>356,849</td>
<td>1.57%</td>
<td>495,147</td>
</tr>
<tr>
<td>Grand Total</td>
<td></td>
<td>22,798,616</td>
<td>100.00%</td>
<td>22,798,616</td>
</tr>
</tbody>
</table>

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

<table>
<thead>
<tr>
<th>Investor Classification</th>
<th>Buy</th>
<th>Sell</th>
<th>Net Value Traded</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SAR</td>
<td>% of Total Buys</td>
<td>SAR</td>
</tr>
<tr>
<td>Institutional</td>
<td>2,162,289</td>
<td>9.48%</td>
<td>6,236,681</td>
</tr>
<tr>
<td>Non-Institutional</td>
<td>20,636,328</td>
<td>90.52%</td>
<td>16,561,935</td>
</tr>
<tr>
<td>Grand Total</td>
<td>22,798,616</td>
<td>100.00%</td>
<td>22,798,616</td>
</tr>
</tbody>
</table>

**Institutional:**
Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

**Non Institutional:**
Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.
### Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

<table>
<thead>
<tr>
<th>Nationality</th>
<th>Investor Type</th>
<th>As of 3 August 2017</th>
<th>As of 10 August 2017</th>
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</thead>
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<td></td>
<td></td>
<td>Holding Value (SAR)</td>
<td>Ownership (%)</td>
<td>Holding Value (SAR)</td>
</tr>
<tr>
<td>Saudi</td>
<td>Individuals:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Individuals</td>
<td>2,190,999,534</td>
<td>87.84%</td>
<td>2,159,676,161</td>
</tr>
<tr>
<td></td>
<td>Corporates</td>
<td>139,010,736</td>
<td>5.57%</td>
<td>135,097,729</td>
</tr>
<tr>
<td></td>
<td>Institution</td>
<td>142,245,867</td>
<td>5.70%</td>
<td>133,039,288</td>
</tr>
<tr>
<td></td>
<td>Total Saudi Investors</td>
<td>2,472,256,137</td>
<td>99.11%</td>
<td>2,427,813,178</td>
</tr>
<tr>
<td>GCC</td>
<td>Individuals</td>
<td>30,268</td>
<td>0.00%</td>
<td>30,065</td>
</tr>
<tr>
<td></td>
<td>Institutions</td>
<td>15,788,578</td>
<td>0.63%</td>
<td>14,964,928</td>
</tr>
<tr>
<td></td>
<td>Total GCC Investors</td>
<td>15,818,846</td>
<td>0.63%</td>
<td>14,994,993</td>
</tr>
<tr>
<td>Foreign</td>
<td>SWAP Holders</td>
<td>215,067</td>
<td>0.01%</td>
<td>209,325</td>
</tr>
<tr>
<td></td>
<td>Foreign Residents</td>
<td>4,928,108</td>
<td>0.20%</td>
<td>4,692,220</td>
</tr>
<tr>
<td></td>
<td>QFIs</td>
<td>1,206,192</td>
<td>0.05%</td>
<td>1,318,159</td>
</tr>
<tr>
<td></td>
<td>Total Foreign Investors</td>
<td>6,349,367</td>
<td>0.25%</td>
<td>6,219,704</td>
</tr>
<tr>
<td>Grand Total</td>
<td></td>
<td>2,494,424,350</td>
<td>100.00%</td>
<td>2,449,027,875</td>
</tr>
</tbody>
</table>

### Nomu-Parallel Market Ownership Breakdown - By Investor Classification

<table>
<thead>
<tr>
<th>Investor Classification</th>
<th>As of 3 August 2017</th>
<th>As of 10 August 2017</th>
<th>Weekly Change</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Holding Value (SAR)</td>
<td>Ownership (%)</td>
<td>Holding Value (SAR)</td>
</tr>
<tr>
<td>Institutional</td>
<td>298,466,439</td>
<td>11.97%</td>
<td>284,629,429</td>
</tr>
<tr>
<td>Non-Institutional</td>
<td>2,195,957,911</td>
<td>88.03%</td>
<td>2,164,398,446</td>
</tr>
<tr>
<td>Grand Total</td>
<td>2,494,424,350</td>
<td>100.00%</td>
<td>2,449,027,875</td>
</tr>
</tbody>
</table>

**Institutional:**
Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

**Non Institutional:**
Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.
Thank you